# SET THEORY: SKETCHING THE METALINGUISTIC SYSTEM

LECTURE 2

Moreno Mitrović

The HU Lectures on Formal Semantics

A thing is a thing, not what is said of that thing.

—Birdman

#### RECAP

- Buzzwords which should sound familiar and comfortable to you by now:
  - · object language v metalanguage
  - · sense *v* reference
  - saturation, unsaturated meaning, the notion of function
- The discussion was fruitful, so let's keep that momentum going.



# METALANGUAGE

**SET THEORY** 

#### **SET THEORY**

Set theory is a theory of sets. (Duh.)

### Set

A set is a **collection** of objects which are called the **members** or **elements** of that set.

- We will use upper-case letters (A) or curly brackets ({ }) to refer to and designate sets, and
- $\cdot$  and lower-case letters (x) to refer to and designate elements (members of a set).

• Everything in the world can be partitioned using set-theoretic glasses by grouping together objects.

- Everything in the world can be partitioned using set-theoretic glasses by grouping together objects.
- The largest such collection of the objects is the universal set, or U which
  contains everything, including other (smaller) sets.

- Everything in the world can be partitioned using set-theoretic glasses by grouping together objects.
- The largest such collection of the objects is the universal set, or U which
  contains everything, including other (smaller) sets.
- This alone allows us to not only chop-up the world and organise it into sets, but also say something about the relation between different sets.

- Everything in the world can be partitioned using set-theoretic glasses by grouping together objects.
- The largest such collection of the objects is the universal set, or U which
  contains everything, including other (smaller) sets.
- This alone allows us to not only chop-up the world and organise it into sets, but also say something about the relation between different sets.

**MEMBERSHIP** if object x belongs to set A, we will write  $x \in A$ , which reads "x is an elements of A". (Conversely, if x is not in A, then  $x \notin A$ )

**MEMBERSHIP** if object x belongs to set A, we will write  $x \in A$ , which reads "x is an elements of A". (Conversely, if x is not in A, then  $x \notin A$ )

**EMPTINESS** If a set has no elements, it is an empty set. We will refer to this set using the symbol Ø.

**MEMBERSHIP** if object x belongs to set A, we will write  $x \in A$ , which reads "x is an elements of A". (Conversely, if x is not in A, then  $x \notin A$ )

**EMPTINESS** If a set has no elements, it is an empty set. We will refer to this set using the symbol  $\varnothing$ .

**EQUALITY** If two sets comprise of the same elements, e.g.,

$$A = \{x, y, z\}, B = \{x, y, z\}, \text{ then } A = B$$

- **MEMBERSHIP** if object x belongs to set A, we will write  $x \in A$ , which reads "x is an elements of A". (Conversely, if x is not in A, then  $x \notin A$ )
- **EMPTINESS** If a set has no elements, it is an empty set. We will refer to this set using the symbol  $\varnothing$ .
- **EQUALITY** If two sets comprise of the same elements, e.g.,

$$A = \{x, y, z\}, B = \{x, y, z\}, \text{ then } A = B$$

**SUBSETHOOD** If all the members of one set (A) are also members of another (B), then the former is a subset of the latter, i.e.  $A \subseteq B$  ("A is a subset of B"). (Conversely,  $B \supseteq B$ , "B is a superset of A".)

- **MEMBERSHIP** if object x belongs to set A, we will write  $x \in A$ , which reads "x is an elements of A". (Conversely, if x is not in A, then  $x \notin A$ )
- **EMPTINESS** If a set has no elements, it is an empty set. We will refer to this set using the symbol  $\varnothing$ .
- **EQUALITY** If two sets comprise of the same elements, e.g.,

$$A = \{x, y, z\}, B = \{x, y, z\}, \text{ then } A = B$$

**SUBSETHOOD** If all the members of one set (A) are also members of another (B), then the former is a subset of the latter, i.e.  $A \subseteq B$  ("A is a subset of B"). (Conversely,  $B \supseteq B$ , "B is a superset of A".)

**INTERSECTION** An intersection of two sets,  $A \cap B$ , will only contain elements who are members or both A and B.

**INTERSECTION** An intersection of two sets,  $A \cap B$ , will only contain elements who are members or both A and B.

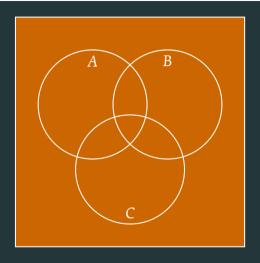
**UNION** A union of two sets,  $A \cup B$ , will contain elements who are members of A or B or  $A \cup B$ .

- **INTERSECTION** An intersection of two sets,  $A \cap B$ , will only contain elements who are members or both A and B.
- **UNION** A union of two sets,  $A \cup B$ , will contain elements who are members of A or B or  $A \cup B$ .
- **DIFFERENCE** A difference of two sets, A B or  $A \setminus B$  ("A without B"), contains members in A and no members in B (subtraction-like).

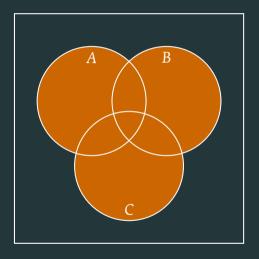
- **INTERSECTION** An intersection of two sets,  $A \cap B$ , will only contain elements who are members or both A and B.
- **UNION** A union of two sets,  $A \cup B$ , will contain elements who are members of A or B or  $A \cup B$ .
- **DIFFERENCE** A difference of two sets, A B or  $A \setminus B$  ("A without B"), contains members in A and no members in B (subtraction-like).
- **COMPLEMENTATION** A complement of a single set,  $A^{C}$  (or,  $A^{-}$ , or  $\bar{A}$ ), contains everything not in A.

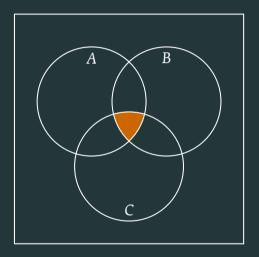
- **INTERSECTION** An intersection of two sets,  $A \cap B$ , will only contain elements who are members or both A and B.
- **UNION** A union of two sets,  $A \cup B$ , will contain elements who are members of A or B or  $A \cup B$ .
- **DIFFERENCE** A difference of two sets, A B or  $A \setminus B$  ("A without B"), contains members in A and no members in B (subtraction-like).
- **COMPLEMENTATION** A complement of a single set,  $A^{C}$  (or,  $A^{-}$ , or  $\bar{A}$ ), contains everything not in A.
- **UNIVERSE** Universe, U, is a superset of all sets, i.e., a collection of everything. (E.g., the complement of set  $A(\bar{A})$  is  $U\backslash A$ .)

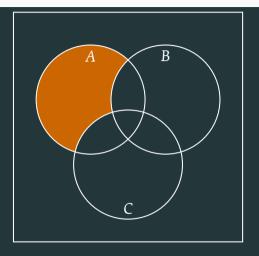
- **INTERSECTION** An intersection of two sets,  $A \cap B$ , will only contain elements who are members or both A and B.
- **UNION** A union of two sets,  $A \cup B$ , will contain elements who are members of A or B or  $A \cup B$ .
- **DIFFERENCE** A difference of two sets, A B or  $A \setminus B$  ("A without B"), contains members in A and no members in B (subtraction-like).
- **COMPLEMENTATION** A complement of a single set,  $A^{C}$  (or,  $A^{-}$ , or  $\bar{A}$ ), contains everything not in A.
- **UNIVERSE** Universe, U, is a superset of all sets, i.e., a collection of everything. (E.g., the complement of set  $A(\bar{A})$  is  $U\setminus A$ .)
- **POWERSET** A powerset of A, or  $\wp(A)$ , is a set of all (possible) subsets in A. E.g.,  $\wp(\{a,b\}) = \{\varnothing,\{a\},\{b\},\{a,b\}\}$





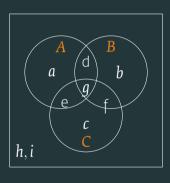


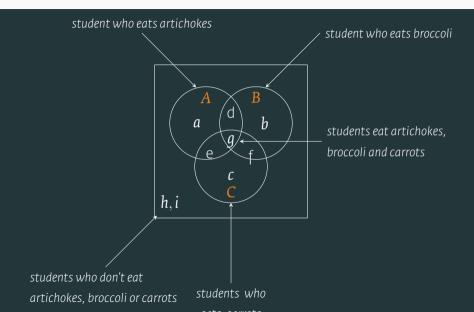




(Can you describe the shaded area this down in set-theoretic notation?)







### SPECIFYING SETS: DEFINITION BY LISTING

- How do we specify what elements belong to a set?
- One way is to list those elements.

(1) a. 
$$A = \{a, b, c\}$$
  
b.  $B = \{1, 2, 3, ...\}$   
c.  $C = \{\star, \bullet, \bullet\}$   
d. ...

### SPECIFYING SETS: DEFINITION BY LISTING

- How do we specify what elements belong to a set?
- One way is to list those elements.

(1) a. 
$$A = \{a, b, c\}$$
  
b.  $B = \{1, 2, 3, ...\}$   
c.  $C = \{\star, \bullet, \bullet\}$   
d. ...

Not very useful, at least for our purposes.

- Another way is to specify a condition which is to be satisfied by all and only the elements of the set we are defining.
- (2) a. Let A be set of all cats.
  - b. Let A be that set which contains exactly those x such that x is a cat.
  - c.  $A = \{x : x \text{ is a CAT}\}$

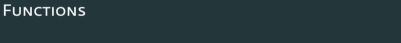
- Another way is to specify a condition which is to be satisfied by all and only the elements of the set we are defining.
- (2) a. Let A be set of all cats.
  - b. Let A be that set which contains exactly those x such that x is a cat.
  - c.  $\overline{A} = \{x : x \text{ is a CAT}\}$
- Q How would we read (2c)?

- Another way is to specify a condition which is to be satisfied by all and only the elements of the set we are defining.
- (2) a. Let A be set of all cats.
  - b. Let A be that set which contains exactly those x such that x is a cat.
  - c.  $A = \{x : x \text{ is a CAT}\}$
- Q How would we read (2c)?
- A "the set of all x such that x is a cat"

- The element x in (2c), repeated below as (3), does not stand for a particular object (=constant), rather it is a VARIABLE (=a 'place-holder').
- To determine the membership of the set A, one has to plug in the the names
  of actual objects ('cats') for the "x" in the condition "x is a CAT"
- If we want to know whether Fido  $\in A$ , we must consider the statement 'Fido is a CAT'
  - If this statement is true, then Fido  $\in A$ .
  - If this statement is false, then Fido ∉ A
- (3)  $A = \{x : x \text{ is a CAT}\}$ 
  - a.  $A = \{x : x \text{ is a CAT}\}$
  - b.  $A \neq \{x\}$

x is a variable

x is a constant



**METALANGUAGE** 

### **FUNCTIONS**

- Recall that Frege took unsaturated meanings to be functions.
- We now know about sets. Well, functions are sets, too. (Particular kinds of sets.)
- Functions are like factory machines: they takes material (input) and deliver a product (output).
- If we have two objects, x and y, we can construct from them an ordered pair which we notate as  $\langle x, y \rangle$ .

# (4) However!

- a.  $\{x, y\} = \{y, x\}$
- b.  $\langle x, y \rangle \neq \langle y, x \rangle$

order **doesn't matter** order **matters**!

### **FUNCTIONS AS RELATIONS**

- · A relation is a set of ordered pairs.
- Functions are a special kind of relations: in a function, the second member of each pair, e.g.,  $\langle x, y \rangle$ , is uniquely determined by the first, i.e.,  $\langle x, y \rangle$

### Relation

A relation f is a function iff it satisfies the following condition:

For any x: if there are y and z such that  $\langle x, y \rangle \in f$  and  $\langle x, z \rangle \in f$ , then y = z.

# FUNCTIONS: THEIR DOMAIN AND RANGE

Every function has a DOMAIN and a RANGE (both of which are sets).

# Domain and Range

Let f be a function.

Then the domain of f is  $\{x: \text{ there is a } y \text{ such that } \langle x,y \rangle \in f\}$ , and the range of f is  $\{x: \text{ there is a } y \text{ such that } \langle y,x \rangle \in f\}$ 

- Another way to notate a function as a mapping from its domain (A) to its range (B):
  - $\cdot f: A \mapsto B$
- There are also other notations:
  - $\cdot f(x)$
  - $\cdot f: x \mapsto y$
  - $\cdot \langle x, y \rangle \in f$

("fapplied to 
$$x$$
", or "f of  $x$ ")

(ex: can you read this informally?)
$$_{18/22}$$

# FUNCTIONS: THEIR DOMAIN AND RANGE

• Every function has a DOMAIN and a RANGE (both of which are sets).

# Domain and Range

Let f be a function.

Then the **domain** of f is  $\{x : \text{ there is a } y \text{ such that } | \langle x, y \rangle | \in f\}$ , and the **range** of f is  $\{x : \text{ there is a } y \text{ such that } | \langle y, x \rangle | \in f\}$ 

- Another way to notate a function as a mapping from its domain (A) to its range (B):
  - $\cdot f: A \mapsto B$
- · There are also other notations:

$$f(x) f: x \mapsto y (x, y) \in f$$

- Just like we did with sets, we can define functions in many ways.
- · One way is to list the fs elements (=ordered pairs).
- · Here are two equivalent list notations:

(5) a. 
$$f := \{ \langle a, b \rangle, \langle c, b \rangle, \langle d, e \rangle \}$$

- Just like we did with sets, we can define functions in many ways.
- · One way is to list the f's elements (=ordered pairs).
- Here are two equivalent list notations:

(5) a. 
$$f := \{ \langle a, b \rangle, \langle c, b \rangle, \langle d, e \rangle \}$$
  
b.  $f := \begin{bmatrix} a \mapsto b \\ c \mapsto b \\ d \mapsto e \end{bmatrix}$ 

- Just like we did with sets, we can define functions in many ways.
- · One way is to list the fs elements (=ordered pairs).
- Here are two equivalent list notations:

(5) a. 
$$f := \{ \langle a, b \rangle, \langle c, b \rangle, \langle d, e \rangle \}$$
  
b.  $f := \begin{bmatrix} a \mapsto b \\ c \mapsto b \\ d \mapsto e \end{bmatrix}$ 

- c. Let f be a function with domain  $\{,,,,\}$ , such that
  - i. f(a) =
  - ii. f(c) =
  - iii. f(d) =

- Just like we did with sets, we can define functions in many ways.
- · One way is to list the fs elements (=ordered pairs).
- Here are two equivalent list notations:

(5) a. 
$$f := \{ \langle a, b \rangle, \langle c, b \rangle, \langle d, e \rangle \}$$
  
b.  $f := \begin{bmatrix} a \mapsto b \\ c \mapsto b \\ d \mapsto e \end{bmatrix}$ 

i. 
$$f(a) =$$

ii. 
$$f(c) =$$

iii. 
$$f(d) =$$

- Just like we did with sets, we can define functions in many ways.
- · One way is to list the fs elements (=ordered pairs).
- Here are two equivalent list notations:

(5) a. 
$$f := \{ \langle a, b \rangle, \langle c, b \rangle, \langle d, e \rangle \}$$
  
b.  $f := \begin{bmatrix} a \mapsto b \\ c \mapsto b \\ d \mapsto e \end{bmatrix}$ 

i. 
$$f(a) = b$$

ii. 
$$f(c) =$$

iii. 
$$f(d) =$$

- Just like we did with sets, we can define functions in many ways.
- · One way is to list the fs elements (=ordered pairs).
- Here are two equivalent list notations:

(5) a. 
$$f := \{ \langle a, b \rangle, \langle c, b \rangle, \langle d, e \rangle \}$$
  
b.  $f := \begin{bmatrix} a \mapsto b \\ c \mapsto b \\ d \mapsto e \end{bmatrix}$ 

i. 
$$f(a) = b$$

ii. 
$$f(c) = b$$

iii. 
$$f(d) =$$

- Just like we did with sets, we can define functions in many ways.
- · One way is to list the fs elements (=ordered pairs).
- Here are two equivalent list notations:

(5) a. 
$$f := \{ \langle a, b \rangle, \langle c, b \rangle, \langle d, e \rangle \}$$
  
b.  $f := \begin{bmatrix} a \mapsto b \\ c \mapsto b \\ d \mapsto e \end{bmatrix}$ 

i. 
$$f(a) = b$$

ii. 
$$f(c) = b$$

iii. 
$$f(d) = e$$

- Just like we did with sets, we can define functions in many ways.
- · One way is to list the fs elements (=ordered pairs).
- Here are two equivalent list notations:

(5) a. 
$$f := \{ \langle a, b \rangle, \langle c, b \rangle, \langle d, e \rangle \}$$
  
b.  $f := \begin{bmatrix} a \mapsto b \\ c \mapsto b \\ d \mapsto e \end{bmatrix}$ 

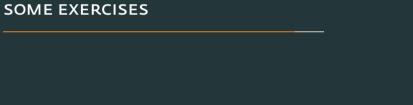
i. 
$$f(a) = b = f(c)$$

ii. 
$$f(c) = b = f(a)$$

iii. 
$$f(d) = e$$

### SPECIFYING FUNCTIONS: DEFINITION BY ABSTRACTION

- Functions with large or infinite domains are better defined in abstract form,
   by specifying the condition that is to be met by each (argument-value) pair.
- You're probably more familiar with this notation from your high-school math classes.
- (6)  $f: \mathbb{N} \to \mathbb{N}$ , and for every  $x \in \mathbb{N}$ , f(x) = x + 1 (where  $\mathbb{N}$  is the set of all natural numbers)
- · (Can we try and define some more linguistically-relevant functions?)
- Once we equip ourselves with some more technical tools, we will soon introduce an even more concise notation for such functions.



- Let  $D = \{b, a, c, k\}$ ,  $E = \{t, a, s, k\}$ ,  $F = \{b, a, t, h\}$ . Using these sets, find the following:
  - $\cdot D^{C} \cap E$
  - $\cdot F^{C} \cap D$
  - $\cdot (D \cap E) \cup F$
  - $\cdot D \cap (E \cup F)$
  - $\cdot (F \cap E)^{C} \cap D$
  - $\cdot (D \cup E)^{C} \cap F$



- It is very important we all understand these formal preliminaries.
- To this end, a problem set on set theory and functions will be uploaded by Friday.
- Exercises (some with solutions) also provided.
- As there is no lecture on May 7th, I suggest you meet in groups to attempt the problem sets and exercises. Study groups highly encouraged.